

## UNITED STATES DISTRICT COURT

Southern

District of

New York

ARIC A. STREIT & MARY STREIT as Trustees  
for the benefit of the STREIT LIVING TRUST

**SUMMONS IN A CIVIL ACTION**

V.

UBS AG, UBS SECURITIES LLC and UBS  
FINANCIAL SERVICES, INC.

CASE NUMBER:

08 CIV 52517

TO: (Name and address of Defendant)

**YOU ARE HEREBY SUMMONED** and required to serve on PLAINTIFF'S ATTORNEY (name and address)

Jerome M. Congress, Esq.  
MILBERG LLP  
One Pennsylvania Plaza - 49th Floor  
New York, NY 10119-0165

an answer to the complaint which is served on you with this summons, within \_\_\_\_\_ days after service  
of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you  
for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the  
Clerk of this Court within a reasonable period of time after service.

**J. MICHAEL McMAHON**

CLERK

DATE

JUN 09 2008

<b>RETURN OF SERVICE</b>		
Service of the Summons and complaint was made by me <sup>(1)</sup>	DATE	
NAME OF SERVER ( <i>PRINT</i> )	TITLE	
<i>Check one box below to indicate appropriate method of service</i>		
<input type="checkbox"/> Served personally upon the defendant. Place where served:  <input type="checkbox"/> Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left:  <input type="checkbox"/> Returned unexecuted:  <input type="checkbox"/> Other (specify):  		
<b>STATEMENT OF SERVICE FEES</b>		
TRAVEL	SERVICES	TOTAL \$0.00
<b>DECLARATION OF SERVER</b>		
<p>I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.</p> <p>Executed on _____            Date _____ <i>Signature of Server</i></p> <p>_____  <i>Address of Server</i></p>		

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

**SCHEDULE A**

**UBS AG**  
299 Park Avenue  
New York, NY 10171

- and -

**UBS AG**  
1285 Avenue of the Americas  
New York, NY 10019

**UBS SECURITIES LLC**  
677 Washington Boulevard  
Stamford, CT 06901

**UBS FINANCIAL SERVICES, INC.**  
1285 Avenue of the Americas  
New York, NY 10019

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

Attorney(s) for Plaintiff(s): Jerome M. Congress, Esq.

Address: Milberg LLP, One Pennsylvania Plaza-49th Floor, New York, NY 10119-0165

**AFFIDAVIT OF SERVICE**

**ARIC A. STREIT & MARY STREIT as Trustees  
for the benefit of the STREIT LIVING TRUST**

Plaintiff(s)

VS.

Case No.: 08 CIV 5251

Filed On: Jun 09 2008

**UBS AG, UBS SECURITIES LLC  
and UBS FINANCIAL SERVICES, INC.**

Defendant(s)

STATE OF CONNECTICUT:

COUNTY OF FAIRFIELD: S.S.

**ERIC RUBIN BEING DULY SWORN DEPOSES AND SAYS:** That deponent is not a party to this action, is over the age of 18 years and resides in the State of Connecticut.

That on **6/10/08** at **3:11 PM** at **201 TRESSER BLVD., STAMFORD, CT 06901**, deponent made due service of the within **SUMMONS IN A CIVIL ACTION, CLASS ACTION COMPLAINT FOR VIOLATIONS OF FEDERAL SECURITIES LAWS, CIVIL COVER SHEET, RELATED CASE AFFIDAVIT, INDIVIDUAL PRACTICES OF JUDGE LAWRENCE M. McKENNA** on **UBS SECURITIES LLC**, defendant therein named.

**INDIVIDUAL:** By delivering a true copy of each to said recipient personally; deponent knew or had reason to believe the person to be the individual described within.

**CORPORATION:** By delivering thereat a true copy of each to **CYNTHIA WALSHE** at the above address and that deponent knew the person so served to be the **DIRECTOR, REGION AMERICAS LEGAL EMPLOYMENT & LITIGATIONS SECTIONS** of the corporation and said person stated that they were authorized to accept on behalf of the corporation.

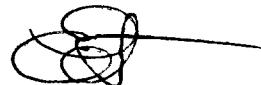
**SUITABLE AGE PERSON:** By delivering thereat a true copy of each to \_\_\_\_\_, a person of suitable age and discretion. Said premises is defendant's actual place of business dwelling house (usual place of abode) within the state.

**MAILING:** Deponent also enclosed a true copy of each in a first class postpaid sealed envelope properly addressed to the defendant at defendant's last known residence at \_\_\_\_\_ on \_\_\_\_\_ and deposited said envelope in an official depository under exclusive care and custody of the United States Postal Service within the state where service was effected. The envelope bore the legend "Personal and Confidential" and did not indicate on the outside, by return address or otherwise, that the communication concerned an action against the defendant.

**DESCRIPTION:** Deponent describes the individual served as follows:

SEX	SKIN COLOR	HAIR COLOR	AGE	HEIGHT	WEIGHT	OTHER
Female	White	Red	36-50	5'3"-5'6"	100-130 lbs	

Attest,



Eric Rubin, Private Process Server

Sworn to before me on 6/12/08



Ksenia V. Rubin

NOTARY PUBLIC

State of Connecticut

My Commission Expires 12/31/12